

Risk Tolerance Questionnaire

What is your full name?

Sample

What is your investment attitude?

Very Conservative

Somewhat Conservative

✓ Moderate

Somewhat Aggressive

Very Aggressive

In how many years will you begin making withdrawals from your investment?

less than 2 years

✓ 2-5 years

5-10 years

More than 10 years

Once you begin to make your withdrawals, how many years will you be making withdrawals?

Lump-sum

Less than 2 years

✓ 2-5 years

5-10 years

10+ years

Minimizing losses is more important to me than the potential for high returns.

Strongly Agree

Agree

✓ Neutral

Disagree

Strongly Disagree

Keeping your previous answer in mind, which of the following sentences most resonates with you?

I am willing to accept the risk of substantial loss in order to maximize my potential returns.

✓ I seek to balance the potential for higher returns with minimizing downside risk.

Preserving my assets is more important to me than seeking higher returns.

Which of the following statements best describes your investment philosophy?

I feel comfortable with stable investments.

✓ I am willing to withstand some fluctuations in my investment.

I am seeking substantial investment returns.

Over the next few years, what do you expect your annual income to do?

Stay the same

Grow moderately

✓ Grow substantially

Decrease moderately

Decrease substantially

Which of the following best reflects your response to an unexpected \$5,000 expense?

I have the cash readily on hand just in case.

✓ This would cause some stress, but I could make things work.

This expense would put me at risk.

Which investments do you have experience with?

Individual stocks or bonds

✓ Mutual Funds or ETFs

Both

Neither
